Database Practices Oracle FLEXCUBE Investor Servicing Release 12.1.0.5.7 [May] [2018]



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# 1. Database Initialization Parameters

Oracle FLEXCUBE standard database initialization parameters have been derived after performing the required benchmark tests (Performance Load tests).

**Note**: Since some of the initialization parameters values are specific to customer volume, parameters should be derived using **FCIS-Disk-Layouts-initparams-12c-version12.xlsm** excel sheet base lined along with this document.

Following are the Parameters with the details and its relevance to FLEXCUBE:

### 1.1 DB\_WRITER\_PROCESSES

This is useful for systems that modify data heavily. It specifies the initial number of database writer processes for an instance.

Property	Description
Parameter type	Integer
Default value	1 or CPU_COUNT / 8, whichever is greater
Range of Values	1 to 20
Recommended	Refer FCIS-Disk-Layouts-initparams-12c- version12.xlsm

#### Oracle FLEXCUBE relevance

Multiple DB writer processes helps faster flushing of data to disk. To arrive right value, refer **FCIS-Disk-Layouts-initparams-12c-version12.xlsm** excel.

### 1.2 CURSOR SHARING

Determines what kind of SQL statements can share the same cursors.

Property	Description
Parameter type	String
Default value	EXACT
Recommended Value	Force

**Oracle FLEXCUBE relevance** 



Some of the FLEXCUBE sql statements are generated dynamically. So they contain literal values in the WHERE clause conditions. This results in large numbers of nearly identical statements with separate parse trees in Oracle's library cache, which can slow performance and cause latch problems.

By setting cursor\_sharing to FORCE database convert literals to bind variables before parsing the statement.

### 1.3 DB\_CACHE\_ADVICE

This enables or disables statistics gathering used for predicting behavior with different cache sizes through the V\$DB\_CACHE\_ADVICE performance view.

Property	Description
Parameter type	String
Syntax	DB_CACHE_ADVICE = { ON   READY   OFF }
Default value	If STATISTICS_LEVEL is set to TYPICAL / ALL, then ON If STATISTICS_LEVEL is set to BASIC, then OFF
Recommended Value	OFF (Should be ON while Performance Monitoring)

#### Oracle FLEXCUBE relevance

Turning ON advisory will have an extra overhead. Please note it should be ON, only during performance monitoring.

### 1.4 FAST\_START\_MTTR\_TARGET

This enables you to specify the number of seconds the database takes to perform crash recovery of a single instance. When specified, FAST\_START\_MTTR\_TARGET is overridden by LOG\_CHECKPOINT\_INTERVAL.

Property	Description
Parameter type	Integer
Default value	0
Range of values	0 to 3600 seconds
Recommended Values	300

#### Oracle FLEXCUBE relevance



If FAST\_START\_MTTR\_TARGET is not set to 300 then run time performance for write/redo generation intensive workloads will not be optimized. This will reduce checkpoint writes from DBWR processes, making more room for LGWR IO. To optimize run time performance for write/redo generation intensive workloads, increase the FAST\_START\_MTTR\_TARGET initialization parameter to 300.

### 1.5 FILESYSTEM\_IO\_OPTIONS

This specifies the IO operation for file system files.

Property	Description
Parameter type	String
Default value	There is no default value
Range of values	NONE,SETALL,DIRECTIO,ASYNC
Recommended Values	SETALL

#### Oracle FLEXCUBE relevance

By setting parameter value to SETALL, Oracle can take advantage of direct I/O and asynchronous I/O on supported platforms. However, this parameter will not have any effect if ASM is being used.

### 1.6 JOB\_QUEUE\_PROCESSES

This specifies the maximum number of processes that can be created for the execution of jobs. It specifies the number of job queue processes per instance (J000, J999).

Property	Description
Parameter type	Integer
Default value	0
Range of values	0 to 1000
Recommended Values	Refer FCIS-Disk-Layouts-initparams-12c- version12.xlsm

#### Oracle FLEXCUBE relevance

This parameter has to be set with respect to the maximum number of jobs (dbms\_jobs). To arrive at the right value, refer FCIS-Disk-Layouts-initparams-12c-version12.xlsm excel.

### 1.7 <u>NLS\_DATE\_FORMAT</u>

This specifies the default date format to use with the TO\_CHAR and TO\_DATE functions.



Property	Description
Parameter type	String
Syntax	NLS_DATE_FORMAT = "format"
Default value	Derived from NLS_TERRITORY
Recommended Values	DD-MON-RRRR

FLEXCUBE standard date format.

### 1.8 OPEN\_CURSORS

This specifies the maximum number of open cursors (handles to private SQL areas) a session can have at once. You can use this parameter to prevent a session from opening an excessive number of cursors.

Property	Description
Parameter type	Integer
Default value	50
Modifiable	ALTER SYSTEM
Range of values	1 to 4294967295 (4 GB -1)
Recommended Values	5000

#### Oracle FLEXCUBE relevance

It is important to set the value of OPEN\_CURSORS high enough to prevent FCIS application from running out of open cursors (ORA-01000: maximum open cursors exceeded).

### 1.9 OPTIMIZER\_DYNAMIC\_SAMPLING

This controls the level of dynamic sampling performed by the optimizer.

Property	Description
Parameter type	Integer
Default value	If OPTIMIZER_FEATURES_ENABLE is set to 10.0.0 or higher, then 2
	If OPTIMIZER_FEATURES_ENABLE is set to 9.2.0, then 1
	If OPTIMIZER_FEATURES_ENABLE is set to 9.0.1 or



	lower, then 0
Recommended Values	1
Range of values	0 to 10

Dynamic Sampling is a method of gathering additional statistics during optimization by recursively sampling statements. When dynamic sampling is enabled, queries are recursively generated by Oracle to test various selectivity based upon real values in order to improve their accuracy. This can result in the production of better explain plans.

Value 1 Sample all tables that have not been analyzed that meet certain criteria.

### 1.10 OPTIMIZER INDEX CACHING

This lets you adjust the behavior of cost-based optimization to favor nested loops joins and IN-list iterators.

Property	Description
Parameter type	Integer
Default value	0
Recommended Values	90
Range of values	0 to 100

#### Oracle FLEXCUBE relevance

The cost of executing an index using IN-list iterators or of executing nested loops join when an index is used to access the inner table depends on the caching of that index in the buffer cache. FLEXCUBE favors nested loop joins by setting optimizer\_index\_caching to 90.

### 1.11 OPTIMIZER INDEX COST ADJ

This lets you tune optimizer behavior for access path selection to be more or less index friendly that is, to make the optimizer more or less prone to selecting an index access path over a full table scan.

Property	Description
Parameter type	Integer
Default value	100
Recommended Values	50
Range of values	1 to 10000



FLEXCUBE favors index read over full table scan as it is very useful when optimizer favors to give a lower cost to index scans over full-table scans.

### 1.12 PLSQL\_CODE\_TYPE

This specifies the compilation mode of the PL/SQL units.

Property	Description
Parameter type	String
Default value	INTERPRETED
Recommended values	NATIVE
Range of values	INTERPRETED, NATIVE

#### Oracle FLEXCUBE relevance

The PL SQL interpreter overhead will be minimal when set to NATIVE.

### 1.13 PLSQL\_OPTIMIZE\_LEVEL

This specifies the optimization level that will be used to compile PL/SQL library units. The higher the setting of this parameter, the more effort the compiler makes to optimize PL/SQL library units.

Property	Description
Parameter type	Integer
Default value	2
Recommended values	2
Range of values	0 to 3

#### Oracle FLEXCUBE relevance

This applies a wide range of modern optimization techniques beyond those of level 1 including changes which may move source code relatively far from its original location.

### 1.14 **PROCESSES**

This specifies the maximum number of operating system user processes that can simultaneously connect to Oracle. Its value should allow for all background processes such as locks, job queue processes, and parallel execution processes.

Property Description	
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Parameter type	Integer
Default value	100
Range of values	6 to operating system dependent
Recommended values	Refer FCIS-Disk-Layouts-initparams-12c- version12.xlsm

This parameter can set be set with respect to maximum no of sessions connected to DB.

### 1.15 <u>QUERY\_REWRITE\_ENABLED</u>

Allows you to enable or disable query rewriting globally for the database.

Property	Description
Parameter type	String
Syntax	QUERY_REWRITE_ENABLED = { false   true   force }
Default value	If OPTIMIZER_FEATURES_ENABLE is set to 10.0.0 or higher, then true
	If OPTIMIZER_FEATURES_ENABLE is set to 9.2.0 or lower, then false
Recommended values	FALSE

#### Oracle FLEXCUBE relevance

FLEXCUBE doesn't uses function-based indexes.

### 1.16 REMOTE\_DEPENDENCIES\_MODE

Specifies how Oracle should handle dependencies upon remote PL/SQL stored procedures.

Property	Description
Parameter type	String
Syntax	REMOTE_DEPENDENCIES_MODE = { TIMESTAMP   SIGNATURE }
Default value	TIMESTAMP
Recommended values	SIGNATURE

Oracle FLEXCUBE relevance



Oracle allows the procedure to execute as long as the signatures are considered safe. This setting allows client PL/SQL applications to be run without recompilation.

### 1.17 <u>RESULT\_CACHE\_MAX\_SIZE</u>

RESULT\_CACHE\_MAX\_SIZE specifies the maximum amount of SGA memory (in bytes) that can be used by the Result Cache.

Property	Description
Parameter type	Big integer
Syntax	RESULT_CACHE_MAX_SIZE = integer [K   M   G]
Default value	Derived from the values of SHARED_POOL_SIZE, SGA_TARGET, and MEMORY_TARGET
Recommended values	0.5% of SGA

#### Oracle FLEXCUBE relevance

■Automatic memory management

If you are using the MEMORY\_TARGET initialization parameter to specify memory allocation, Oracle Database allocates 0.25% of the value of the MEMORY\_TARGET parameter to the result cache.

■Automatic shared memory management

If you are managing the size of the shared pool using the SGA\_TARGET initialization parameter, Oracle Database allocates 0.50% of the value of the SGA\_TARGET parameter to the result cache.

■Manual shared memory management

If you are managing the size of the shared pool using the SHARED\_POOL\_SIZE initialization parameter, then Oracle Database allocates 1% of the shared pool size to the result cache.

### 1.18 CLIENT\_RESULT\_CACHE LAG

CLIENT\_RESULT\_CACHE\_LAG specifies the maximum time (in milliseconds) since the last round trip to the server, before which the OCI client query execute makes a round trip to get any database changes related to the queries cached on the client.

Property	Description
Parameter type	Big integer



Syntax	CLIENT_RESULT_CACHE_LAG = integer
Default value	3000
Recommended values	10,800,000(3 hours)
Recommended values	10,000,000(3 110013)

### 1.19 CLIENT\_RESULT\_CACHE\_SIZE

CLIENT\_RESULT\_CACHE\_SIZE specifies the maximum size of the client per-process result set cache (in bytes). All OCI client processes inherit this maximum size. Setting a nonzero value enables the client query cache feature. This can be overridden by the client configuration parameter OCI\_RESULT\_CACHE\_MAX\_SIZE

Property	Description
Parameter type	Big integer
Syntax	CLIENT_RESULT_CACHE_SIZE=integer [K M G]
Default value	0
Recommended values	32К

### 1.20 SESSION CACHED CURSORS

Specifies the number of session cursors to cache. Repeated parse calls of the same SQL statement cause the session cursor for that statement to be moved into the session cursor cache. Subsequent parse calls will find the cursor in the cache and do not need to reopen the cursor. Oracle uses a least recently used algorithm to remove entries in the session cursor cache to make room for new entries when needed.

Property	Description	
Parameter type	Integer	
Default value	50	
Recommended values	400	
Range of values	0 to operating system-dependent	

Oracle FLEXCUBE relevance



This helps to cache the cursor thus avoid parsing of the cursor which heavy CPU intensive particularly in batch.

### 1.21 SKIP\_UNUSABLE\_INDEXES

Enables or disables the use and reporting of tables with unusable indexes or index partitions.

Property	Description
Parameter type	Boolean
Default value	true
Recommended values	FALSE
Range of values	true / false

#### Oracle FLEXCUBE relevance

TRUE enables error reporting of indexes marked UNUSABLE. This setting does not allow inserts, deletes, and updates on tables with unusable indexes or index partitions. IT is set to false because FLEXCUBE application should throw error if any of the indexes become UNUSABLE.

### 1.22 UNDO RETENTION

This specifies (in seconds) the low threshold value of undo retention. For AUTOEXTEND undo tablespaces, the system retains undo for at least the time specified in this parameter, and automatically tunes the undo retention period to satisfy the undo requirements of the queries. For fixed- size undo tablespaces, the system automatically tunes for the maximum possible undo retention period, based on undo tablespace size and usage history, and ignores UNDO\_RETENTION unless retention guarantee is enabled.

The UNDO\_RETENTION parameter can only be honored if the current undo tablespace has enough space. If an active transaction requires undo space and the undo tablespace does not have available space, then the system starts reusing unexpired undo space. This action can potentially cause some queries to fail with a "snapshot too old" message.

Property	Description
Parameter type	Integer
Default value	900
Range of values	0 to 231 – 1
Recommended values	1800

#### Oracle FLEXCUBE relevance

Increased value along with automatic undo management helps to avoid "snapshot too old error".



### 1.23 UTL\_FILE\_DIR

Lets you specify one or more directories that Oracle should use for PL/SQL file I/O. If you are specifying multiple directories, you must repeat the UTL\_FILE\_DIR parameter for each directory on separate lines of the initialization parameter file.

Property	Description	
Parameter type	String	
Syntax	UTL_FILE_DIR = pathname	
Default value	There is no default value	
Recommended values	/tmp/Flexcube	

#### Oracle FLEXCUBE relevance

Security recommends to create one single directory for writing all the DEBUG related files. This should be in sync with the DEBUG related parameter values mentioned in CSTB\_PARAM table. If any other components require to write to a different location, the same needs to be updated in UTL\_FILE\_DIR as well.

### 1.24 DEFERRED\_SEGMENT\_CREATION

Specifies the semantics of deferred segment creation. If set to true, then segments for nonpartitioned tables and their dependent objects (LOBs, indexes) will not be created until the first row is inserted into the table.

Property	Description	
Parameter type	Boolean	
Default value	True	
Modifiable	ALTER SESSION, ALTER SYSTEM	
Recommended value	False	

#### **Oracle FLEXCUBE relevance**

All the FLEXCUBE tables should be imported / created even though there is no record in the table.

### 1.25 LOG\_BUFFER

Recommended Value: 100M

#### Oracle FLEXCUBE relevance



The default log buffer size is too small as FLEXCUBE performs heavy DML during batch processing.

### 1.26 ALLOW\_LEVEL\_WITHOUT\_CONNECT\_BY

Recommended Value: TRUE

This parameter is set to avoid following error,

• After Upgrading To Oracle 10g, Getting ORA-01788 When Running A Query That Includes The LEVEL Pseudo Column [ID 455953.1]

### 1.27 PGA\_AGGREGATE\_LIMIT

Recommended Value: 0

Oracle Flexcube Relevance:

Setting this parameter limits the pga consumed by the instance, hence might cause failure to few of the running processes.

### 1.28 Optimizer Adaptive Features

Property	Description	
Parameter type	Boolean	
Default value	True	
Modifiable	ALTER SESSION, ALTER SYSTEM	
Recommended value	False	

#### Oracle FLEXCUBE relevance

Some of the FLEXCUBE sql statements are generated dynamically. So they contain literal values in the WHERE clause conditions. This results in large numbers of nearly identical statements with separate parse trees in Oracle's library cache, which can slow performance and cause latch problems. Also this can lead to a wrong explain plan.



### 1.29 Parallel\_Adaptive\_Multi\_User

Property	Description	
Parameter type	Boolean	
Default value	True	
Modifiable	ALTER SESSION, ALTER SYSTEM	
Recommended value	False	

#### Oracle FLEXCUBE relevance

When set to true enables an adaptive algorithm designed to improve performance in multiuser environments that use parallel execution.

### 1.30 <u>Memory Target</u>

Property	Description
Parameter type	Integer
Default value	0
Modifiable	ALTER SYSTEM
Recommended value	0

#### Oracle FLEXCUBE relevance

It specifies the Oracle system-wide usable memory. As the database tunes the memory either reducing or increasing SGA and PGA default value is retained. If value is explicitly set, it will negatively impact the performance.

#### 1.31 Memory Max Target

Property	Description
Parameter type	Integer
Default value	0
Modifiable	ALTER SYSTEM
Recommended value	0

#### Oracle FLEXCUBE relevance

It specifies the Oracle system-wide usable max memory. If value is explicitly set, it should be greater than Memory\_Target.



### 1.32 Transparent Database Encryption

Transparent Data Encryption (TDE) enables you to encrypt data so that only an authorized recipient can read it. Use encryption to protect sensitive data in a potentially unprotected environment, such as data you placed on backup media that is sent to an off-site storage location. You can encrypt individual columns in a database table, or you can encrypt an entire tablespace.

To use Transparent Data Encryption, you do not need to modify your applications. TDE enables your applications to continue working seamlessly as before. It automatically encrypts data when it is written to disk, and then automatically decrypts the data when your applications access it. Key management is built-in, eliminating the complex task of managing and securing encryption keys.

To enable TDE,

Login to SYS user in Container Database

#### Creating a Password-Based Software Keystore

Compile the below command to create password protected keystore.

# ADMINISTER KEY MANAGEMENT CREATE KEYSTORE '<*keystore\_location*>' IDENTIFIED BY <key\_store\_password>;

In the above command,

keystore\_location: keystore\_location is the path to the keystore directory location of the password-based keystore for which you want to create the auto-login keystore

key\_store\_password: password of the keystore that you, the security administrator, creates.

Above command creates, ewallet.p12 file in the keystore\_location

#### **Open the Password-protected Keystore**

Run the below command to open the Password protected keystore.

#### ADMINISTER KEY MANAGEMENT SET KEYSTORE OPEN IDENTIFIED BY <key\_store\_password> [<CONTAINER = ALL | CURRENT>];

In the above command,

CONTAINER: Enter ALL to set the keystore in all of the PDBs in this CDB, or CURRENT for the current PDB.

#### **Creating Master Encryption Key**

Creating and managing the TDE master encryption key can be done for password protected keystore either from the root or the PDB (SYS user of corresponding PDB)

Login to SYS user of either CDB to PDB to create the key

ADMINISTER KEY MANAGEMENT SET KEY IDENTIFIED BY <key\_store\_password> with BACKUP [using '<Key\_backup>'];

In the above command,



Key backup: This identifier is appended to the named keystore file

When compiled in PDB, TDE gets enabled in the PDB.

#### **Creating Auto-Login Keystore**

Alternatively, auto-login software keystore can be created for Password protected keystore by following below commands

#### ADMINISTER KEY MANAGEMENT CREATE [LOCAL] AUTO\_LOGIN KEYSTORE FROM KEYSTORE '<*keystore\_location*>' IDENTIFIED BY <*key\_store\_password*>;

In the above command,

LOCAL enables you to create a local auto-login software keystore. Otherwise, omit this clause if you want the keystore to be accessible by other computers.

keystore location: Password protected Keystore location

key store password: Password protected Keystore password

The auto-login software keystore can be opened from different computers from the computer where this keystore resides, but the local auto-login software keystore can only be opened from the computer on which it was created. Both the auto-login and local auto-login keystores are created from the password-based software keystores.

Note: Transparent Data Encryption uses an auto login keystore only if it is available at the correct location (ENCRYPTION\_WALLET\_LOCATION, WALLET\_LOCATION, or the default keystore location), and the SQL statement to open an encrypted keystore has not already been executed. (Auto-login keystores are encrypted, because they have system-generated passwords.)

#### Creating Tablespace with ENCRYPTION.

After opening the keystore and setting Management Key add the below clause to CREATE TABLESPACE command to create with ENCRYPTION

#### ENCRYPTION USING 'AES256' ENCRYPT

Above command specifies the encryption algorithm and the key length for the encryption. The ENCRYPT clause encrypts the tablespace. Enclose this setting in single quotation marks (' '). The key lengths are included in the names of the algorithms. If you do not specify an encryption algorithm, then the default encryption algorithm, AES128, is used. For IS Installation AES256 should be mentioned as algorithm.



# 2. Redo Log Files

The default redo log files groups and size is inadequate to run FLEXCUBE. Hence, the recommended are:

- 6 redo log groups
- Redo log file size
  - > 1 GB each for the DB size up to 1 TB
  - > 2 GB each for DB size more than 1 TB



# 3. Tablespace Layout and Moving Tables to Respective Tablespaces

Oracle FLEXCUBE tables and indexes are placed in corresponding tablespaces according to their usage. I.e. heavily populated tables and corresponding indexes are placed in tablespaces with higher extent size. Whereas the maintenance tables where the data population is less will be placed in a tablespace with smaller extent size. This avoids frequent space allocation in turn improve the performance.

For example table ACTB\_HISTORY is heavily populated. So this table and its indexes will be placed in tablespace FCCDATAXL and FCCINDXXL respectively where extent size is high. The table STTM\_BRANCH and its indexes are placed in tablespace FCCDATASML and FCCINDXSML respectively which is having smaller extent size.

Tablespace name	Tablespace type	Extent management	Segment space management
FCCDATASML	DATA	LOCAL	AUTO
FCCINDXSML	INDEX	LOCAL	AUTO
FCCDATAMED	DATA	LOCAL	AUTO
FCCINDXMED	INDEX	LOCAL	AUTO
FCCDATALAR	DATA	LOCAL	AUTO
FCCINDXLAR	INDEX	LOCAL	AUTO
FCCDATAXL	DATA	LOCAL	AUTO
FCCINDXXL	INDEX	LOCAL	AUTO
FCCDFLT	AD HOC	LOCAL	AUTO

Oracle FLEXCUBE Standard Tablespaces are as follows,

**Note**: Tablespaces extent size depends on the FLEXCUBE implementation (i.e. Small, Medium and Large). So these parameters are to be derived using base lined excel **FCIS-Disk-Layouts-initparams-12c-version12.xlsm** based on implementation.

For the table to tablespace mapping, refer base lined excel sheet FCIS-Tablespace-Distribution\_v12.xlsx.

Sample script to move table and index:

Alter table STTM CUST ACCOUNT DORMANCY move tablespace FCCDATALAR;

Alter index IND\_DRREF rebuild tablespace FCCINDXLAR;

Similarly all tables and indexes should be moved to respective tablespaces.



# 4. Table & Index Partitioning

Table and index partitioning helps to reduce the contention and GC related delays in RAC environment. Table and index partitioning is mandatory if you have deployed Oracle FLEXCUBE in RAC database.

Following are the list of tables to be partitioned:

NAME	PARTITIONING_TYPE	COLUMN_NAME
ACTB_ACCBAL_HISTORY	LIST	BRANCH_CODE
ACTB_DAILY_LOG	LIST	AC_BRANCH
ACTB_HISTORY	LIST	AC_BRANCH
ACTB_MONTHLY_TOV_HIST	LIST	BRANCH_CODE
ACTB_VD_BAL	LIST	BRN
CATM_CHECK_BOOK	LIST	BRANCH
CATM_CHECK_DETAILS	LIST	BRANCH
CLTB_ACCOUNT_APPS_MASTER	RANGE	BRANCH_CODE,PROCESS_NO
CLTB_ACCOUNT_COMPONENTS	LIST	BRANCH_CODE
CLTB_ACCOUNT_COMP_BALANCES	LIST	BRANCH_CODE
CLTB_ACCOUNT_COMP_BAL_BREAKUP	LIST	BRANCH_CODE
CLTB_ACCOUNT_COMP_BAL_SUMMARY	LIST	BRANCH_CODE
CLTB_ACCOUNT_COMP_CALC	LIST	BRANCH_CODE
CLTB_ACCOUNT_COMP_SCH	LIST	BRANCH_CODE
CLTB_ACCOUNT_EVENTS_ADVICES	LIST	BRANCH_CODE
CLTB_ACCOUNT_EVENTS_DIARY	RANGE	BRANCH_CODE,PROCESS_NO
CLTB_ACCOUNT_PARTIES	LIST	BRANCH_CODE
CLTB_ACCOUNT_ROLL_COMP	LIST	BRANCH_CODE
CLTB_ACCOUNT_SCHEDULES	LIST	BRANCH_CODE
CLTB_ACCOUNT_UDE_EFF_DATES	LIST	BRANCH_CODE
CLTB_ACCOUNT_UDE_VALUES	LIST	BRANCH_CODE
CLTB_ACC_COMPOUNDING_DATES	LIST	BRANCH_CODE
CLTB_AMOUNT_PAID	LIST	BRANCH_CODE
CLTB_AMOUNT_PAID_HISTORY	LIST	BRANCH_CODE
CLTB_AMOUNT_RECD	LIST	BRANCH_CODE
CLTB_CALC_DATES	LIST	BRANCH_CODE
CLTB_DISBR_SCHEDULES	LIST	BRANCH_CODE
CLTB_EVENT_ENTRIES	RANGE	BRANCH_CODE,PROCESS_NO
CLTB_EVENT_ENTRIES_PENDING	LIST	BRANCH_CODE
CLTB_EVENT_REMARKS	LIST	BRANCH_CODE
CLTB_LIQ	LIST	BRANCH_CODE
CLTB_RECON	LIST	BRANCH_CODE
CLTB_REVISION_ACCOUNTS	LIST	BRANCH_CODE
CLTB_REVN_SCHEDULES	LIST	BRANCH_CODE



CLTP_ACCOUNT_COMP_BALANCES	LIST	BRANCH_CODE
CLTP_ACCOUNT_COMP_CALC	LIST	BRANCH_CODE
CLTP_ACCOUNT_COMP_SCH	LIST	BRANCH_CODE
CLTP_ACCOUNT_SCHEDULES	LIST	BRANCH_CODE
CSTB_AMOUNT_DUE	HASH	CONTRACT_REF_NO
CSTB_CONTRACT_OVD	HASH	CONTRACT_REF_NO,EVENT_SEQ_NO, OVD_SEQ_NO
CSTB_EXT_CONTRACT_STAT	LIST	BRANCH_CODE
CSTB_MSG_LOG	HASH	MSG ID
CSTB_RELATIONSHIP_LINKAGE	HASH	REF NO
DETB_PCTRN	LIST	BRANCH
DETB_RTL_TELLER	HASH	TRN_REF_NO
ELTB UTIL TXN LOG	HASH	MASTER_TXN_ID
FBTB_OVD	HASH	XREF,SEQ_NO
FBTB TXNLOG DETAILS	HASH	XREFID
FBTB_TXNLOG_MASTER	HASH	XREFID
FTTB_CONTRACT_MASTER	HASH	CONTRACT_REF_NO
GETB_MAIN_UTILS	HASH	UTIL_ID
GETB_UTILS	HASH	USER_REFNO
GETB_UTILS_LOG	LIST	UTIL_BRN
GETB_VD_UTILS	HASH	FACILITY_ID
GETH_UTILS	LIST	UTIL BRN
 GETM_LIAB	HASH	ID
 GETM_LIAB_CUST	LIST	BRANCH_CODE
GLTB_CUST_ACCBREAKUP	LIST	BRANCH_CODE
	LIST	BRANCH_CODE
GWTB_MSG_IN_LOG	HASH	
GWTB_MSG_OUT_LOG	HASH	
ICTB_ACC_PR	RANGE	BRN,PROCESS
ICTB_ACC_PR_HISTORY	LIST	BRN
ICTB_ADJ_INTEREST	LIST	BRN
ICTB_CHG_VAL	LIST	BRN
ICTB_DLY_MSG_OUT	LIST	BRN
ICTB_ENTRIES	RANGE	BRN,PROCESS
ICTB_ENTRIES_HISTORY	RANGE	BRN,PROCESS
ICTB_ICALC_STMT	LIST	BRN
ICTB_IS_VALS	LIST	BRN
ICTB_ITM_TOV	LIST	BRN
ICTB_UDEVAL_ROW	HASH	COND_KEY
ICTM_ACC	LIST	BRN
ICTM_CHILDTD_DETAILS	LIST	BRN
ICTW_ACC_PR	LIST	BRN



ICTW_MAKE_ROW	HASH	COND_KEY
ISTB_CONTRACTIS	HASH	CONTRACT_REF_NO
ISTB_CONTRACT_DETAILS	HASH	CONTRACT_REF_NO
ISTB_MSGHO	HASH	CONTRACT_REF_NO
MITB_CLASS_MAPPING	HASH	UNIT_REF_NO
MSTB_ADV_INPUT	HASH	DCN
MSTB_CONTRACT_CHG_ADVICE	HASH	CONTRACT_REF_NO
MSTB_EXT_MSG_OUT	HASH	DCN
MSTB_MSG_STAT	HASH	REFERENCE_NO
MSTM_MSG_ADDRESS	HASH	CUSTOMER_NO
SMTB_SMS_LOG	HASH	SEQUENCE_NO
STTB_ACCOUNT	LIST	BRANCH_CODE
STTB_FIELD_LOG	HASH	KEY_ID
STTB_NOTIFICATION	HASH	PKEY_VALUES
STTB_NOTIFICATION_HISTORY	HASH	PKEY_VALUES
STTB_RECORD_LOG	LIST	BRANCH_CODE
STTB_RECORD_MASTER	LIST	BRANCH_CODE
STTM_ACCSTAT_REPLINES_DETAIL	LIST	BRANCH_CODE
STTM_CUSTAC_BAL_NOTIF	LIST	BRANCH_CODE
STTM_CUSTOMER	HASH	CUSTOMER_NO
STTM_CUST_ACCOUNT	LIST	BRANCH_CODE
STTM_CUST_PERSONAL	HASH	CUSTOMER_NO
SWTB_TXN_HIST	HASH	XREF
SWTB_TXN_LOG	HASH	XREF
SWTB_TXN_TIME	HASH	XREF

Following points are to be noted during partitioning:

- Keep the number of partitions same as number of branches.
- All the corresponding indexes should be local partitioned except the primary key index which doesn't contain the partition key.
- If the primary key index doesn't contain the table partition column then those indexes are to be created without partition.
- 'Actb\_daily\_log and actb\_history tables' primary key index has to be recreated as reverse key index without partition as follows:

Alter table ACTB\_DAILY\_LOG drop primary key;

Drop index PK01 ACTB DAILY LOG;

Create unique index PK01\_ACTB\_DAILY\_LOG on ACTB\_DAILY\_LOG GLOBAL PARTITION BY HASH (AC\_ENTRY\_SR\_NO);

Alter table ACTB\_DAILY\_LOG add constraint PK01\_ ACTB\_DAILY\_LOG primary key (AC\_ENTRY\_SR\_NO) using index PK01\_ACTB\_DAILY\_LOG;



- Similarly recreate actb\_history primary key.
- Index IX02\_ACTB\_DAILY\_LOG on ACTB\_DAILY\_LOG (TRN\_REF\_NO,EVENT\_SR\_NO) should be recreated as global Hash partition as follows:

Create index IX02\_ACTB\_DAILY\_LOG on ACTB\_DAILY\_LOG (TRN\_REF\_NO, EVENT\_SR\_NO) global partition by hash (TRN\_REF\_NO, EVENT\_SR\_NO) partitions <no\_of\_partitions>;

 In ACTB\_DAILY\_LOG index, if there is an index on (AC\_NO, AC\_BRANCH, TRN\_DT, TRN\_CODE) columns, then this index can be dropped and create a new index as follows:

CREATE INDEX X6\_ACTB\_DAILY\_LOG ON ACTB\_DAILY\_LOG(AC\_NO,AC\_BRANCH ,BALANCE UPD ,AUTH STAT) local;



# 5. Sequence Caching

Sequence Caching is applicable only if Oracle FLEXCUBE is deployed in RAC database.

Heavy use of sequences in RAC database causes high DFS lock handle & row cache lock waits which affect the application scalability. In order to overcome this issue, the sequences are to be cached with noorder option.

All the FLEXCUBE indexes should be recreated cache 500 and noorder. Steps to alter existing sequences as follows:

- 1. Login to FLEXCUBE schema
- 2. SQL > Spool sequence.sql
- SQL > select ' alter sequence ' || sequence\_name || ' cache 500 noorder;' from user\_sequences;
- 4. SQL > spool off;
- SQL > @ sequence.sql Verify that cache and order changed to all sequences.
- 6. Select order\_flag, cache\_size from user\_sequences;

In FLEXCUBE some of the sequences are recreated as part of end of day batches. Those sequences have to be taken care in TRPKS package. Sequence creation is handled in procedure Pr\_Create\_Seq and function Fn\_Create\_Seq\_For\_Combination. These methods should be modified to include caching and noorder as follows:

l\_Create := 'CREATE SEQUENCE ' || p\_Seq\_Name || ' INCREMENT BY 1 START
WITH 1 MINVALUE 1 NOCYCLE CACHE 500 NOORDER';



# 6. PLSQL Optimizer Level

The plsql\_optimize\_level value for all the pl/sql units should be same which would be the value set in plsql\_optimize\_level init parameter.

Following sql gives the PLSQL optimizer level for FLEXCUBE schema plsql units:

```
Select PLSQL_OPTIMIZE_LEVEL,type,count(*) "Count" from
user plsql object settings group by PLSQL OPTIMIZE LEVEL,type;
```

PLSQL\_OPTIMIZE\_LEVEL for all the objects should be same which should be value set in plsql\_optimize\_level init parameter. If there is a difference then the objects should be recompiled. This can be done using dbms\_utility.compile\_schema procedure.

Eg: - exec dbms\_utility.compile\_schema('FCCBM2')

Here, 'FCCBM2' refers to the FLEXCUBE schema.

Note: The 'dbms\_utility.compile\_schema' procedure invalidates and recompiles all the plsql units.



# 7. Statistics Collection for FLEXCUBE Schema (Recommended Method)

Oracle 12c provides a default scheduled job to collect statistics for the entire database and is default scheduled to run every night. Given that the FLEXCUBE batch as well runs in the night it is critical that the statistics gathering is not run during the batch.

It is recommended to use the default database scheduled job that is shipped with Oracle Database to collect statistics for FLEXCUBE Schema.

**Note**: This document assumes that there is no other tool or a program is scheduled to collect statistics for the Database.

#### 7.1 Customizing Default Statistics Collection Schedule

The Default Scheduler is to be customized for the following:

• Ensure that the default statistics gathering program is configured and Running.

SELECT STATUS

```
FROM DBA AUTOTASK CLIENT
```

WHERE CLIENT NAME='auto optimizer stats collection';

Should return - ENABLED

• Ensure that the default statistics gathering program is configured to run only on weekends.

/\* Start of Script – Script to be executed as SYS\*/

BEGIN

```
DBMS_AUTO_TASK_ADMIN.ENABLE (
```

CLIENT NAME => 'auto optimizer stats collection',

OPERATION => NULL,

WINDOW NAME => 'SATURDAY WINDOW');

DBMS AUTO TASK ADMIN.ENABLE(

CLIENT NAME => 'auto optimizer stats collection',

OPERATION => NULL,

WINDOW NAME => 'SUNDAY WINDOW');

END;

/



/\* End of Script \*/

• Default schedule is daily. So disable the daily schedules for optimizer statistics.

/\* Start of Script – Script to be executed as SYS\*/

BEGIN

DBMS AUTO TASK ADMIN.DISABLE(

CLIENT\_NAME => 'auto optimizer stats collection',

OPERATION => NULL,

WINDOW\_NAME => 'MONDAY\_WINDOW');

DBMS AUTO TASK ADMIN.DISABLE(

CLIENT NAME => 'auto optimizer stats collection',

OPERATION => NULL,

WINDOW NAME => 'TUESDAY WINDOW');

DBMS AUTO TASK ADMIN.DISABLE(

CLIENT NAME =>'auto optimizer stats collection',

OPERATION => NULL,

WINDOW\_NAME => 'WEDNESDAY\_WINDOW');

DBMS\_AUTO\_TASK\_ADMIN.DISABLE(

CLIENT NAME => 'auto optimizer stats collection',

OPERATION => NULL,

WINDOW NAME => 'THURSDAY WINDOW');

DBMS AUTO TASK ADMIN.DISABLE(

CLIENT\_NAME => 'auto optimizer stats collection',

OPERATION => NULL,

WINDOW\_NAME => 'FRIDAY\_WINDOW');

END;

/

/\* End of Script \*/

Verify the setup using the following SQL



SELECT WINDOW NAME, OPTIMIZER STATS

FROM DBA\_AUTOTASK\_WINDOW\_CLIENTS;

Should return

MONDAY\_WINDOW DISABLED

TUESDAY\_WINDOW DISABLED

WEDNESDAY\_WINDOW DISABLED

THURSDAY WINDOW DISABLED

FRIDAY\_WINDOW DISABLED

SATURDAY\_WINDOW ENABLED SUNDAY WINDOW ENABLED

### 7.2 Customizing Statistics Gathering for FLECUBE

The default statistics gathering is designed to be generic. It is recommended to customize the default statistics gathering to suit FLECUBE online and batch.

Following are the areas that would need customization for FLEXCUBE:

- Statistics Histograms
- Sample Size of Statistics

#### 7.2.1 Statistics Histograms

Note the following:

- The default statistics gathering routine decides to collect histograms on specific tables based on certain criteria that are not documented.
- Statistics Histograms are not recommended for FLEXCUBE tables.

Configure the default statistics gathered without Histograms.

/\* Start of Script – Script to be executed as SYS\*/

BEGIN

DBMS\_STATS.SET\_PARAM ('METHOD\_OPT','FOR ALL COLUMNS SIZE 1');

END;

/

/\*End of Script \*/

Verify the setup using

SELECT DBMS STATS.GET PARAM ('METHOD OPT') FROM DUAL;



Should return

FOR ALL COLUMNS SIZE 1

#### 7.2.2 Sample Size of Statistics

The default statistics gathering routine decides on the percentage of data sampling (AUTO\_SAMPLE\_SIZE).

The idea of sampling is to reduce the time taken for collecting statistics. Sampling could be effective for very large historical tables but not for medium and small tables and hence Sampling of data for all FLEXCUBE tables is not recommended

Configure the default statistics gathered with 100% data coverage.

/\* Start of Script - Script to be executed as SYS\*/
BEGIN
DBMS\_STATS.SET\_PARAM('ESTIMATE\_PERCENT',100);
END;
/
/\* End of Script \*/
Verify the setup using
SELECT DBMS\_STATS.GET\_PARAM('ESTIMATE\_PERCENT')
FROM DUAL;
Should return
100

#### 7.3 <u>Script to Capture and Lock Stats for Volatile Tables</u> in FLEXCUBE Schema

As mentioned in section on FLEXCUBE specific Statistic collection, statistics on the volatile tables are critical for performance and the statistics would have to be collected when these volatile tables have data.

The approach to be followed is as follows:

- Identify the time period where these specific tables have maximum data. E.g. ACTB\_DAILY\_LOG is an accounting table that is volatile. This table is bound to have maximum data (Peak Day of Business/ Month End Day).
- Unlock and Collect Statistics for this specific table on the day of Maximum Volume.
- Lock The statistics

**Note**: Different FLEXCUBE tables might have different days of peak volume and hence the statistics should be collected at different days matching the peak volume for the respective table.



The statistics would have to be monthly refreshed so that the boundary values are refreshed. Lower bound and upper bound values are stored in the data dictionary and out dated boundary values might skew the cost of the SQL.

Use the attached script to capture statistics. The script would have to be run connecting as FLEXCUBE schema. The following example uses ACTB\_DAILY\_LOG as the volatile table. The same script can be used for other tables as well.

Spool FCIS Vol Table Stats.txt SELECT NUM ROWS, BLOCKS, SAMPLE SIZE, TO CHAR(LAST ANALYZED, 'DD-MON-YYYY HH24:MI:SS') from USER TAB STATISTICS WHERE TABLE NAME='ACTB DAILY LOG'; exec dbms stats.unlock table stats(USER, 'ACTB DAILY LOG'); exec dbms stats.gather table stats(OWNNAME=>USER,tabname=>'ACTB DAILY LOG', METHOD OPT=> 'FOR ALL COLUMNS SIZE 1', CASCADE=>true, DEGREE=>4); exec dbms stats.lock table stats(USER, 'ACTB DAILY LOG'); SELECT NUM ROWS, BLOCKS, SAMPLE SIZE, TO CHAR (LAST ANALYZED, 'DD-MON-YYYY HH24:MI:SS') from USER TAB STATISTICS WHERE TABLE NAME='ACTB DAILY LOG'; Spool off



# 8. FLEXCUBE Database Storage Recommendations

Oracle database 10g release 2 onwards, Automatic Storage Management (ASM) is the recommended storage option for FLEXCUBE database. ASM is an integrated cluster aware volume manager and a file system designed and optimized for managing Oracle database files. ASM is the realization of the Oracle Stripe and Mirror Everything (SAME) storage management methodology researched and established as best practices for Oracle database environment over many years.

**Note**: For configuring ASM refer Automatic storage management best practice document provided by Oracle for your database version.

### 8.1 Key benefits of ASM

- I/O is spread evenly across all available disk drives to prevent hot spots and maximize performance.
- ASM eliminates the need for over provisioning and maximizes storage resource utilization facilitating database consolidation.
- Inherent large file support.
- Performs automatic online redistribution after the incremental addition or removal of storage capacity.
- Maintains redundant copies of data to provide high availability, or leverage 3rd party RAID functionality.
- Supports Oracle Database 12c as well as Oracle Real Application Clusters (RAC).
- Capable of leveraging 3rd party multipathing technologies.
- For simplicity and easier migration to ASM, an Oracle Database 12c database can contain ASM and non-ASM files. Any new files can be created as ASM files whilst existing files can also be migrated to ASM.
- RMAN commands enable non-ASM managed files to be relocated to an ASM disk group.
- Oracle Database 12c Enterprise Manager can be used to manage ASM disk and file management activities.



# 9. FLEXCUBE Database Backup Recommendations

Backup Policy is a very important ingredient of any High Availability system. Oracle recommends RMAN utility for database backup.

RMAN is acronym for Recovery Manager, is Oracle utility which will backup, restore, and recover oracle data files. RMAN is an Oracle provided utility for efficiently performing Backup and Recovery. RMAN is available as a part of the standard Installation and no separate installation is required.

Recovery Manager is a client/server application that uses database server sessions to perform backup and recovery. It stores metadata about its operations in the control file of the target database and, optionally, in a recovery catalog schema in an Oracle database.

You can invoke RMAN as a command-line executable from the operating system prompt or use some RMAN features through the Enterprise Manager GUI.

### 9.1 RMAN Vs Conventional Backup

- During a conventional hot backup, the amount of Redo generated during the backup would be more due to the fact that the redo logs during the hot backup store the entire block images rather than the change vectors.
- RMAN doesn't place the tablespace in a backup mode and hence the amount of Redo generated during the RMAN backup is considerably low.
- RMAN can identify block corruption during backup operations and RMAN supports Block recovery.
- RMAN automatically detects new data files and will backup them. Also, RMAN supports incremental backup method.
- RMAN backs up only the blocks that have been used at least once. Unused blocks are never backed up. Unused block here refers to the blocks where in the block header is zeroed
- RMAN enables us to test the backup without actually restoring the backup.
- RMAN can verify physical and logical structures of the database without actually performing backup.
- Usage of Shared Pool and Large Pool for RMAN
- RMAN uses DBMS\_RCVMAN and DBMS\_BACKUP\_RESTORE packages for backup and recovery. These packages would be loaded in the shared pool for backup and restore operation. RMAN uses the PGA for backup and restore operation.
- RMAN Requires LARGE\_POOL only if TAPE\_IO\_SLAVES and DBWR\_IO\_SLAVES are defined.
- Sizing Large Pool LARGE\_POOL = (Number of Channels) \* (16 MB + Tape Buffer)



### 9.2 Benefits of Using RMAN

- RMAN is an intelligent tool that comes at no extra cost. It is available free with the Oracle Database.
- RMAN introduced in Oracle 8 it has become simpler with newer versions and easier than user managed backups.
- Provides proper security for Backups.
- You can be 100% sure your database has been backed up.
- Controlfile and Spfile of the database can be configured to be automatically backed up by RMAN.
- It contains detail of the backups taken etc in its central repository Facility for testing validity of backups also commands like crosscheck to check the status of backup.
- Faster backups and restores compared to backups without RMAN.
- RMAN is the only native backup tool which supports incremental backups.
- Oracle 12c has got further optimized incremental backup which has resulted in improvement of performance during backup and recovery time.
- Parallel operations (Multiple Channels for Backup and Restore) are supported.
- Better querying facility for knowing different details of backup.
- No extra redo is generated when backup is performed, compared to conventional online backup.
- Maintains repository of backup metadata.
- Remembers backup set location.
- Knows what need to backed up.
- Knows what is required for recovery.
- Knows what backup are redundant.
- RMAN can back up the Database to Disk or directly to Tape. It is recommended that RMAN backup is performed to disk and then copied to tape.



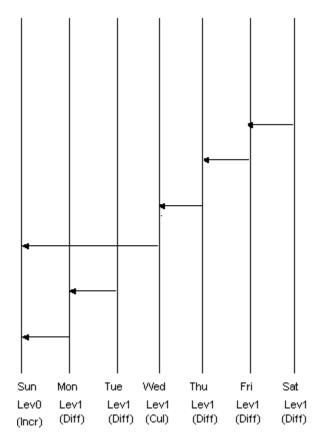
### 9.3 Backup Strategy Recommendation

RMAN will not backup the below files so it is advised to take the copy of the below files on regular basis (weekly/any change/addition to the file).

- Tnsnames.ora
- Listener.ora
- Password file
- Init.ora

The Best practice is to take create the pfile once the spfile is updated.

Below is the recommended strategy.





# **10. Flexcube Periodic Table Maintenance**

Find below the list of tables and the corresponding action that needs to be planned in a periodical basis so that no performance degradation is observed over a period of time. These activities need to be planned ahead since this would require downtime. Also ensure proper backups are taken prior to any table maintenance activity.

Details of the various Actions are as below:

Truncate Table: Take the backup of the current table data and truncate the table

Compress Table: Data of this table is required hence compress table and index data

Table Name	Action
FBTB_TXNLOG_DETAILS_HIST	Truncate Table
CSTB_MSG_LOG	Truncate Table
SMTB_IMAGE_UPLOAD	Truncate Table
FBTB_TXNLOG_DETAILS_HIST	Compress Table
ACTB_ACCBAL_HISTORY	Compress Table
ACTB_DAILY_LOG	Recreate table
MITB_CLASS_MAPPING	Compress Table
ACTB_HISTORY	Compress Table
SVTM_UPLOAD_CIF_SIG_DET	Truncate Table
FBTB_TXNLOG_DETAILS_HIST	Truncate Table
SVTM_CIF_SIG_DET	Compress Table
CSTB_MSG_LOG	Truncate Table
STTB_FIELD_LOG	Recreate table
STTM_CUST_IMAGE	Compress Table
ICTB_ICALC_STMT	Recreate table
STTB_FIELD_LOG_HIST	Truncate Table
FBTB_TXNLOG_MASTER_HIST	Truncate Table

**Recreate Table**: These tables and it corresponding indexes needs to be rebuild.



STTB_FIELD_LOG_HIST	Compress Table
STTB_FIELD_LOG	Recreate table
DETB_RTL_TELLER	Recreate table
STTB_RECORD_LOG	Recreate table
SWTB_TXN_HIST	Recreate table
ICTB_ENTRIES_HISTORY	Compress Table

*Note:* For Maintenance Activity related to Truncate and Recreate table, the impact to be analyzed at site level before implementing the action. Also for any purging related solutions required, please refer the document FS\_FCUBS\_12.0.2\_CO\_Purging.docx .



# 11. FLECUBE Functionality Related Performance Changes

The following parameters are discussed on functionality related performance changes:

Parameter	Recommended Value	How to find
Real debug parameter	N	select param_val from cstb_param where param_name='REAL_DEBUG'
ONLINE GL Update	N	select ONLINE_GL_UPDATE from STTM_BANK
VD Balance update	OFFLINE	select param_val from cstb_param where param_name='VDBAL_UPDATE'
CL - Netting - Accrual	Y	select GL_NETTING_ACCR from CLTM_BRANCH_PARAMETERS
CL - Netting - Liquidation	Y	select GL_NETTING_LIQD from CLTM_BRANCH_PARAMETERS
CL - Netting - STCH	Y	select GL_NETTING_STCH from CLTM_BRANCH_PARAMETERS



# 12. Appendix

### 12.1 Script to Check Histograms on FLEXCUBE Schema

Following script would have to be executed in the FLEXCUBE schema:

```
select distinct table_name
from
(
select table_name from user_tab_columns where histogram!='NONE'
)
```

Should return No Records

### 12.2 <u>Script to Remove Histograms on FLEXCUBE</u> <u>Schema</u>

Following script would have to be executed in the FLEXCUBE schema if there are any rows:

```
declare
cursor cur_tables is
select distinct table_name
from
(
 select table_name from user_tab_columns where histogram!='NONE'
);
begin
for rec_tables in cur_tables
loop
dbms_stats.gather_table_stats(ownname=>USER,tabname=>rec_tables.t
able_name,METHOD_OPT=>'FOR ALL COLUMNS SIZE
1',CASCADE=>TRUE,DEGREE=>2,ESTIMATE_PERCENT=>NULL);
end loop;
end;
```







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